



Numerical Heat Transfer (数值传热学) Chapter 1 Introduction



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1.2 Basic concepts of NHT, its importance and application examples

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1.1 Mathematical formulation of heat transfer and fluid flow (HT & FF) problems

1.1.1 Governing equations (控制方程) and their general form

1. Mass conservation

2. Momentum conservation

3. Energy conservation

4. General form

1.1.2 Conditions for unique solution (唯一解)

1.1.3 Example of mathematical formulation



1.1 Mathematical formulation of heat transfer and fluid flow (HT & FF) problems

All macro-scale (宏观) HT & FF problems are governed by three conservation laws: mass, momentum and energy conservation law (守恒定律).

The differences between different problems are in: conditions for the unique solution (唯一解): initial (初始的) & boundary conditions, physical properties and source terms.

1.1.1 Governing equations and their general form

1. Mass conservation

$$\frac{\partial \rho}{\partial t} + \frac{\partial (\rho u)}{\partial x} + \frac{\partial (\rho v)}{\partial y} + \frac{\partial (\rho w)}{\partial z} = 0$$





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"*div*" is the mathematical symbol for divergence (散度).

$$\frac{\partial \rho}{\partial t} + div(\rho \vec{U}) = 0 \qquad div(\rho \vec{U}) = \frac{\partial(\rho u)}{\partial x} + \frac{\partial(\rho v)}{\partial y} + \frac{\partial(\rho w)}{\partial z}$$

For incompressible fluid (不可压缩流体):

$$div(\vec{U}) = 0$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

flow without source and sink (没有源与汇的流动)。



Applying the 2^{nd} law of Newton (*F=ma*) to the elemental control volume (控制容积) in the three-dimensional coordinates:

[Increasing rate of momentum of the CV]

= [Summation of external (外部) forces

applying on the CV]



Adopting Stokes assumption: stress is linearly proportional to strain(应力与应变成线性关系), We have following result for component u in x-direction:











It can be shown (see the notes) that the above equation can be reformulated as (改写为) following general form of Navier-Stokes equation for u component:



u, *v*, *w* -----velocity components in three directions, respectively, dependent variable (因变量) to be solved; \vec{U} -----fluid velocity vector; $\vec{U}=u\vec{i}+v\vec{j}+w\vec{k}$ S_{μ} -----source term.



Source term in x-direction: For incompressible fluid $S_{u} = \frac{\partial}{\partial x} \left(\eta \frac{\partial u}{\partial x} \right) + \frac{\partial}{\partial v} \left(\eta \frac{\partial v}{\partial x} \right) + \frac{\partial}{\partial z} \left(\eta \frac{\partial w}{\partial x} \right) + \frac{\partial}{\partial x} \left(\overline{\lambda} div \overline{U} \right) + \rho F_{x} - \frac{\partial p}{\partial v}$ Similarly: $S_{v} = \frac{\partial}{\partial x} \left(\eta \frac{\partial u}{\partial v}\right) + \frac{\partial}{\partial v} \left(\eta \frac{\partial v}{\partial v}\right) + \frac{\partial}{\partial z} \left(\eta \frac{\partial w}{\partial v}\right) + \frac{\partial}{\partial z} \left(\overline{\lambda} div \vec{U}\right) + \rho F_{y} - \frac{\partial p}{\partial v}$ $S_{w} = \frac{\partial}{\partial x} \left(\eta \frac{\partial u}{\partial z} \right) + \frac{\partial}{\partial v} \left(\eta \frac{\partial v}{\partial z} \right) + \frac{\partial}{\partial z} \left(\eta \frac{\partial w}{\partial z} \right) + \frac{\partial}{\partial z} \left(\eta \frac{\partial w}{\partial z} \right) + \frac{\partial}{\partial z} \left(\overline{\lambda} div \overline{U} \right) + \rho F_{z} - \frac{\partial p}{\partial z}$ For incompressible fluid with constant properties the source term does not contain velocity-related part.



3. Energy conservation

[Increasing rate of internal energy in the CV]= [Net heat going into the CV]+[Work conducted by body forces and surface forces]

Introducing Fourier's law of heat conduction and neglecting the work conducted by forces; Introducing enthalpy (焓) $h = c_p T$, assuming $c_p = \text{constant}$, We have:

$$\frac{\partial(\rho T)}{\partial t} + div(\rho T \vec{U}) = div(\frac{\lambda}{c_p} grad(T)) + S_T$$

$$grad(T) = \frac{\partial T}{\partial x}\vec{i} + \frac{\partial T}{\partial y}\vec{j} + \frac{\partial T}{\partial z}\vec{k} \quad \frac{\lambda}{c_p} \rightarrow \frac{\lambda\eta}{c_p\eta} \rightarrow (\frac{\lambda}{c_p\eta})\eta \rightarrow \frac{\eta}{\Pr}$$





4. General form of the governing equations

$$\frac{\partial(\rho\phi)}{\partial t} + div(\rho\phi\overline{U}) = div(\Gamma_{\phi}^{*}grad(\phi)) + S_{\phi}^{*}$$

Transient Convection





The differences between different problems:

- (1) Different boundary and initial conditions;
- (2) Different nominal source (名义源项) terms;
- (3) Different physical properties (nominal diffusion coefficients, λ/Pr, 名义扩散系数)



5. Some remarks (说明)

1. The derived transient 3D Navier-Stokes equations can be applied for both laminar and turbulent flows.

2. When a HT & FF problem is in conjunction with (与... 有关) mass transfer process, the component (组份) conservation equation should be included in the governing equations.

3. Although c_p is assumed constant, the above governing equation can also be applied to cases with weakly changed c_p (比热略有变化).

4. Radiative heat transfer (辐射换热) is governed by a differential-integral (微分-积分) equation, and its numerical solution will not be dealt with here.



1.1.2 Conditions for unique solution(taking energy eq. as example)

1. Initial condition (初始条件) t = 0, T = f(x, y, z)

2. Boundary condition (边界条件)

- (1) First kind (Dirichlet): $T_B = T_{given}$ (2) Second kind (Neumann): $q_B = -\lambda (\frac{\partial T}{\partial n})_B = q_{given}$

(3) Third kind (Rubin): Specifying (规定) the relationship between boundary value and its first-order normal derivative:

$$-\lambda(\frac{\partial T}{\partial n})_{B} = h(T_{B} - T_{f})$$

 $q = h(T_w - T_w)$ or $q = h(T_w - T_w)$

For the 3rd kind boundary condition heat flux at the boundary is not known!

3. Fluid thermo-physical properties and source term of the process. 13/57





1.1.3 Example of mathematical formulation

1. Problem and assumptions

Convective heat transfer in a sudden expansion region: 2D, steady-state, incompressible fluid, constant properties, neglecting gravity and viscous dissipation (粘性耗散).







2. Governing equations $\frac{u}{v} + \frac{\partial v}{\partial v} = 0$ ∂u ∂v ∂x $\frac{\partial(vu)}{\partial y} = -\frac{1}{\rho}\frac{\partial p}{\partial x} + \nu(\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2})$ $\partial(uu)$ Complete ∂x set of $-\frac{\partial(vv)}{\partial y} = -\frac{1}{\rho}\frac{\partial p}{\partial y} + v(\frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial v^2})$ governin $\partial(uv)$ ∂x equations $\frac{\partial(uT)}{\partial x} + \frac{\partial(vT)}{\partial y} = a(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial y^2}) \quad a = \frac{\lambda}{\rho c_p} \quad \frac{\lambda}{c_p \eta} = \frac{1}{\Pr}$



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3. Boundary conditions

(1) Inlet: specifying
(说明) variations of *u*,*v*,*T*with y;



(3) Center line: $\frac{\partial u}{\partial y} = \frac{\partial T}{\partial y} = 0; \quad v = 0$

(4) Outlet: Mathematically the distributions of *u*,*v*,*T* or their first-order derivatives(导数) are required. Actually, approximations must be made.

Can we regard this boundary formulation as **heat transfer and fluid flow over a backward step?**

(2) Solid B.C.: No slip (滑移) in velocity, no jump (跳跃) in temp.





Notes to Section 1.1







Gradient of a scalar (标量的梯度) is a vector:

$$grad(u) = \frac{\partial u}{\partial x}\vec{i} + \frac{\partial u}{\partial y}\vec{j} + \frac{\partial u}{\partial z}\vec{k}$$

Divergence of a vector (矢量的散度) is a scalar:

$$div(grad(u)) = div(\frac{\partial u}{\partial x}i + \frac{\partial u}{\partial y}j + \frac{\partial u}{\partial z}k)$$
$$div(grad(u)) = \frac{\partial}{\partial x}(\frac{\partial u}{\partial x}) + \frac{\partial}{\partial y}(\frac{\partial u}{\partial y}) + \frac{\partial}{\partial z}(\frac{\partial u}{\partial z})$$

$$div(\eta grad(u)) = \frac{\partial}{\partial x}(\eta \frac{\partial u}{\partial x}) + \frac{\partial}{\partial y}(\eta \frac{\partial u}{\partial y}) + \frac{\partial}{\partial z}(\eta \frac{\partial u}{\partial z})$$

End of Notes to Section 1.1





1.2 Basic concepts of NHT, its importance and application examples

- 1.2.1 Three fundamental approaches of scientific research and their relationships
- 1.2.2 Basic concepts of numerical solutions based on continuum assumption
- 1.2.3 Classification of numerical solution methods based on continuum assumption
- **1.2.4 Importance and application examples**
- 1.2.5 Stories of two celebrities (名人) in numerical simulation
- 1.2.6 Some suggestions



1.2 Basic concepts of NHT, importance and its application examples

1.2.1 Three fundamental approaches of scientific research and their relationships

1. Theoretical analysis (Analytical solution)

Its importance should not be underestimated (低估). It provides comparison for verifying(验证) numerical solutions.

Examples: The analytic solution of velocity from NS eq. for following case:





2. Experimental study

A basic research method: observation(观察); properties measurement; verification of numerical results

3. Numerical simulation

Numerical simulation is an inter-discipline (交叉学 科), and plays an important and un-replaceable role in exploring (探索)unknowns, promoting (促进) the development of science & technology, and for the safety of national defense (国防安全).

With the rapid development of computer hardware (硬件), the importance and function of the numerical simulation become greater and greater.



1.2.2 Basic concepts of numerical solutions based on continuum assumption (连续性假设)

Replacing the fields of continuum variables (velocity, temp. etc.) by sets (集合) of values at discrete (离散的) points (nodes, grids节点) (Discretization of domain,区域离散);

Establishing algebraic equations for these values at the discrete points by some principles (Discretization of equations, 方程离散);

Solving the algebraic equations by computers to get approximate solutions of the continuum variables (Solution of equation, 方程求解).





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1.2.3 Classification of numerical solution methods based on continuum assumption

- 1. Finite difference
method (FDM)有限差分法:
(1910), A Thome (1940s)
- 2. Finite volume method (FVM)
- 3. Finite element method (FEM)
- 4. Finite analytic method (FAM)
- 5. Boundary element method (BEM)

边界元法: D B Brebbia

有限容积法: D B Spalding; S V

有限元法: O C Zienkiewicz; 冯

有限分析法: 陈景仁(Ching Jen

6. Spectral analysis method (SAM)

谱分析法

Chen)

Patankar

康(Kang Feng)



Comparisons of FDM(a),FVM(b),FEM(c),FAM(d)



All these methods need a grid system (网格系统): 1) Determination of grid positions; 2) Establishing the influence relationships between grids.

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BEM (边界元) requires a basic solution(基准解), which greatly limits its applications in convective problems.

SAM can only be applied to geometrically simple cases.

Manole, Lage 1990—1992 statistics (统计): FVM ---47%; adopted by most commercial software; Our statistics of NHT in 2007 even much higher.





1.2.4 Importance and application examples

1. Application examples

Example 1: Weather forecast—

Numerical solution is the only way.



Large scale vortex



Cloud Atlas sent back by a meteorological satellite



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Example 2: Aeronautical & aerospace (航空航天) engineering



Partial view of grid system around NACA 0012 airfoil (机翼)





Example 3: Design of head shape of high-speed train

The front head shape of the high speed train is of great importance for its aerodynamic performance (空气动力学特性). Numerical wind tunnel is widely used to optimize the front head shape.







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Example 4: Simulation of breaking dam (溃坝)





2. Importance of numerical simulation

Historically, in 1985 the West Europe listed the first commercial software-PHEONICS as the one which was not allowed to sell to the communist countries. The prohibition (\prohibition) was cancelled in 1990s.







In 2005 the USA President Advisory Board put forward a suggestion to the president that in order to keep competitive power (竞争力) of USA in the world it should develops scientific computation.

In the year of 2006 the director of design department of Boeing, M. Grarett, reported to the US Congress (国会) indicating that the high performance computers have completely changed the way of designing Boeing airplane.



Numerical simulation plays an important role in the design of Boeing airplane



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Recently, the Trump administration in USA has banned (禁止)Harbin Institute of Technology and Harbin University of Engineering from using MATLAB. MATLAB is an important tool for engineering design and research.

Therefore independently developed software or home-made software is very important for a country's development.

Graduate students at a research-led university should have the capability to independently develop a software.

To meet such requirement this course is composed of following three major parts:





Part 1: Fundamental theories of numerical heat transfer, You will learn basic numerical solution methods for incompressible fluid flow and heat transfer.(38 hours) Part 2: 2D-teaching code by FORTRAN-95, which contains only about 700 sentences while is able to simulate fluid flow and heat transfer problems in three 2D coordinates; This part cultivates (培养) students' ability to write programs for themselves. (10 hours) Part 3: Commercial software FLUENT, including fundamentals and applications This part cultivates students' ability to apply commercial software to solve complicated engineering problems . (12 hours).



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1.2.5 Stories of two celebrities (名人) in numerical simulation

1. Kang FENG (冯康)



叶笃正, 气象学家 中科院院士 (Meteorology)





冯端,物理学家 中科院院士





Professor K.FENG developed very strict and beautiful mathematical theory of finite element Method(FEM). He was not married for his whole life, and devoted himself to the innovation of science & technology in China.

The year of 2020 was the 100th birthday of Feng KANG. A solemn (隆重) commemoration (纪念) was held in the Mathematical Institute in Beijing.





2. D.B. Spalding (UK)







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> 1.3 Mathematical and physical classification (分类)of HT & FF problems and its effects on numerical solution

1.3.1 From mathematical viewpoint (观点)

- 1. General form of 2nd-order PDE (偏微分方程) with two independent variables (二元)
- 2. Basic features (特点) of three types of PDEs
- 3. Relationship to numerical solution method

1.3.2 From physical viewpoint

Conservative (守恒型) and non-conservative





2. Major staeps of numerical simulation of HT & FF problems



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----replacing the continuum domain by a number of discrete points, called node or grid, at which the values of velocity, temp., etc., are solved;

----replacing the governing equations (PDEs) by a number of algebraic equations for the nodes;

----solving the algebraic equations of the nodes by a computer.

The differences in the three procedures (过程) lead to different numerical methods based on the continuum assumption.





1.3 Mathematical and physical classification of FF & HT Problems and its effects on numerical solutions

1.3.1 From mathematical viewpoint

1. General formulation of 2nd order PDEs with two IVs

$$a\phi_{xx} + b\phi_{xy} + c\phi_{yy} + d\phi_{x} + e\phi_{y} + f\phi = g(x, y)$$

 a, b, c, d, e, f can be function of x, y, ϕ
 a, b, c, d, e, f can be function of x, y, ϕ
 $f < 0$, Elliptic 椭圆型 (回流型)
 $b^{2} - 4ac = 0$, Parabolic 拠物型 (边界层)
 > 0 , Hyperbolic 双曲型





2. Basic feature of three types of PDEs

 $b^2 - 4ac < 0$, having no real characteristic line; (没有实的特征线) $b^2 - 4ac = 0$, having one real characteristic line; $b^2 - 4ac > 0$, having two real characteristic lines leading to the difference in domain of dependence (DOD,依赖区) and domain of influence (DOI,影响区);

For 2-D case, DOD of a node is a line which determines the value of a dependent variable at the node; DOI of a node is an area within which the values of dependent variable are affected by the node.









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3. Relationship with numerical methods

(1) Elliptic: flow with
recirculation (回流),
solution should be conducted
simultaneously for the whole
domain;



(2) Parabolic: flow without recirculation, solution can be conducted by marching method (步进方法), greatly saving computing time!









1.3.2 From physical viewpoint

- 1. Conservative (守恒型) vs. non-conservative:
- **Non-conservative:** those governing equations whose convective terms are not expressed by divergence form are called non-conservative governing equation . For 2D

energy eq.:
$$u \frac{\partial(\rho c_p T)}{\partial x} + v \frac{\partial(\rho c_p T)}{\partial v}$$
 is not divergence form

Conservative: those governing equations whose convective terms are expressed by divergence form(散度 形式) are called conservative governing equation.

$$\frac{\partial(\rho u c_p T)}{\partial x} + \frac{\partial(\rho v c_p T)}{\partial y} \longrightarrow \frac{\partial(\rho c_p T u)}{\partial x} + \frac{\partial(\rho c_p T v)}{\partial y} = div(\rho c_p T \vec{U})$$

These two concepts are only for numerical solution.

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2. Conservative GE. can guarantee the conservation of physical quantity (mass, momentum ,energy , etc.) within a finite (有限大小) volume.

$$\frac{\partial(\rho c_p T)}{\partial t} + div(\rho c_p T \vec{U}) = div(\lambda grad T) + S_T c_p$$

$$\frac{\partial(\rho T)}{\partial t} + div(\rho T \vec{U}) = div(\frac{\lambda}{c_p}grad(T)) + S_T$$

$$\frac{\partial}{\partial t} \int_{V} (\rho c_{p} T) dV = -\int_{V} div(\rho c_{p} T \vec{U}) dV + \int_{V} div(\lambda grad T) dV + \int_{V} S_{T} c_{p} dV$$

From Gauss theorem(高斯定律)
$$\int_{V} div(\rho c_{p} T \vec{U}) dV = \int_{\partial V} (\rho c_{p} T \vec{U}) \cdot \vec{n} dA$$
$$\int_{V} div(\lambda grad T) dV = \int_{\partial V} (\lambda grad (T)) \cdot \vec{n} dA$$
Dot product (矢量的点积)









Key to have a conservative form of governing equation: convective term is expressed by divergence.

3. Generally conservation is expected. Discretization eqs. are suggested to be derived from conservative PDE.

4. Conservative and non-conservative are referred to (指) a finite space (有限空间); For a differential volume (微分容积) they are identical (恒等的)!

$$u\frac{\partial(\rho c_{p}T)}{\partial x} + v\frac{\partial(\rho c_{p}T)}{\partial y} + \rho u c_{p}\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) = \frac{\partial(\rho u c_{p}T)}{\partial x} + \frac{\partial(\rho v c_{p}T)}{\partial y}$$



Summary of Section 1-3

1. The governing eqs. of HT and FF are of 2nd order PDE:

$$a\phi_{xx} + b\phi_{xy} + c\phi_{yy} + d\phi_x + e\phi_y + f\phi = g(x, y)$$

and depending on the value of $(b^2 - 4ac)$, it can be elliptic, parabolic or hyperbolic;

The HT and FF problems of the incompressible fluid are either elliptic or parabolic;

2. If the convective term of a governing eq. is expressed by the divergence form it is **conservative**, otherwise it is **non-conservative**; Discretization eqs. are suggested to be derived from conservative PDE.



Summary of Chapter 1

It is now widely accepted that an appropriate combination of theoretical analysis, experimental study and numerical simulation is the best approach for modern scientific research.

With the further development of computer hardware and numerical algorithm (算法), the importance of numerical simulation will become more and more significant!

A new era of applying numerical simulation has already come with the emergence of the profound changes unseen in a century (随着百年未有之大变局的出现,数值模拟应用的新时代 已经到来)!



Some Suggestions for learning the course

1. Understanding numerical methods from basic characteristics of physical process;

2. Mastering complete picture and knowing every detail (明其全, 析其微) for any numerical method;

3. Practicing simulation method by a computer; Working hard to develop your ability to write code for yourself;

4. Trying hard to analyze simulation results: rationality (合理性) and regularity (规律性);

5. Adopting CSW(商业软件) in conjunction with self-developed code (与自编程序相结合).



Home Work 1 (2022-2023)

Please finish your homework independently (独立完成) !!!

Please hand in on Sept. 27, 2022

Problem 1-1

For a square cavity with dimension H, its right and left walls maintain at T_h and T_c respectively, while its top and bottom walls are adiabatic. The gravity is parallel to its side walls. Steady natural convection occurs in the cavity.

Try to write down:

1) The governing equations for the process in the cavity;

2) The boundary conditions of the fluid flow and heat transfer processes in the cavity.





Figure of Prob 1-1

Problem 1-2

Consider the following partial differential equation:

$$A\frac{\partial^2 T}{\partial x^2} + B\frac{\partial^2 T}{\partial x \partial y} + C\frac{\partial^2 T}{\partial y^2} = 0$$

Determine the type of this equation for the following cases:

(1)
$$A=1,B=3,C=2;$$

(2) $A=1,B=-2,C=1;$
(3) $A=1,B=3,C=3.$





Problem 1-3

Determine the mathematical type of the following partial differential equation for two dimensional convective heat transfer:

$$\rho c_{p} \left[\frac{\partial T}{\partial t} + \frac{\partial}{\partial x} (uT) + \frac{\partial}{\partial y} (vT) \right] = \frac{\partial}{\partial x} (\lambda \frac{\partial T}{\partial x}) + \frac{\partial}{\partial y} (\lambda \frac{\partial T}{\partial y}) + S$$

where *T* is the temperature, *t* is the time, *x* and *y* are the two coordinates, *u* and *v* are the two velocity components, and *S* is the source term.

Problem 1-4

The energy equation of the slug flow(段塞流) in a circular tube is given by

$$\frac{\partial \Theta}{\partial X} = \frac{1}{R} \frac{\partial}{\partial R} \left(R \frac{\partial \Theta}{\partial R} \right) + \frac{1}{P e^2} \frac{\partial \Theta}{\partial X^2} \right)$$





where Θ, X, R are dimensionless temperature, axial distance and radius. Determine the mathematical type of this partial differential equation for the values of *Pe* being finite and approaching infinite.

The pdf file will be posted at our group website and WeChat group Lecture today ---Chapter 1 of NHT textbook

Erratum (勘误表)

- 1. 第3页中间: -2/3 应改为 -2/3 η

 2. 第3页倒数第3行: $-\frac{\partial p}{\partial x}$ 应改为 $-\frac{\partial p}{\partial x} + \rho F_x$

 倒数第1, 2行仿此修改。
- 3. 第4页倒数第3行: λdivU 应改为 λ(divU)²
- 4. 第7页 式(1-18)中右端: *p* 应改为 *p*
- 5. 第9页倒数第3、4行右端:扩散项前的系数应为ν
 6. 式(1-6),(1-8)中漏了重力项。





本组网页地址: <u>http://nht.xjtu.edu.cn</u> 欢迎访问! *Teaching PPT will be loaded on ou website*







People in the same boat help each other to cross to the other bank, where....

